

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
P-5350

In Re Application Of: Abbot, et al.

DEC 09 2004

Serial No.

09/220,436

Filing Date

12/24/1998

Examiner

Unknown

Group Art Unit

2737

Title: Method and Apparatus for Dynamic Command Extensibility in an Intelligent Agent

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

EL178945845US

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
P-5350

In Re Application Of: **Abbot, et al.**

Serial No.
09/220,436

Filing Date
12/24/1998

Examiner
Unknown

Group Art Unit
2737

Title: **Method and Apparatus for Dynamic Command Extensibility in an Intelligent Agent**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on _____ (Date)

Signature

Typed or Printed Name of Person Signing Certificate

***This certificate may only be used if paying by deposit account.**

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on **December 30, 1999** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Thomas W. Ryan (43,072)

Typed or Printed Name of Person Mailing Correspondence

Dated: **December 30, 1999**

Signature

CC:

DEC 30 1999

[illegible][illegible]

5.	"Seeking the Holy Grail of Web-Based Business Intelligence" by Wayne D. Eckerson www.brio.com/library/hg2.html
6.	"Programing in Lua/P" by Stephan Herrmann swt.cs.tu-berlin.de/~stephan/pirol/LauP/programming.html

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance; not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Docket Number (Optional)
P-5550

Application Number
09/220,436

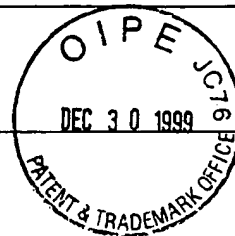
Applicant(s)
Abbott, et al.

Filing Date
12.24.1998

Group Art Unit
2737

*EXAMINER
INITIAL

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)



RECEIVED

JAN 5 - 2000

Group 2700

EXAMINER

Steve Willett

DATE CONSIDERED

2/28/00

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance not considered. Include copy of this form with next communication to applicant.

CERTIFICATE OF MAILING AND SERVICE

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail (EL178945845US) in an envelope addressed to: BOX DD- NO FEE; Assistant Commissioner for Patents, Washington, D.C. 20231-0001, this 30th day of December, 1999, postage prepaid.

Kathryn Walczak
Kathryn Walczak

December 30, 1999
Date of Signature



* E L 1 7 8 9 4 5 8 4 5 U S *

EL178945845US



POST OFFICE TO ADDRESSEE

Mailing Label
Label 11-F July 1997

ORIGIN (POSTAL USE ONLY)			DELIVERY (POSTAL USE ONLY)		
PO ZIP Code	Day of Delivery <input type="checkbox"/> Next <input type="checkbox"/> Second	Flat Rate Envelope <input type="checkbox"/>	Delivery Attempt	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
Date In Mo. Day Year	<input type="checkbox"/> 12 Noon <input type="checkbox"/> 3 PM	Postage \$	Delivery Attempt	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
Time In <input type="checkbox"/> AM <input type="checkbox"/> PM	Military <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	Return Receipt Fee	Delivery Date	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
Weight lbs. ozs.	Int'l Alpha Country Code	COD Fee Insurance Fee	Signature of Addressee or Agent		
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Acceptance Clerk Initials	Total Postage & Fees \$	Name - Please Print		
CUSTOMER USE ONLY			X		
METHOD OF PAYMENT: Express Mail Corporate Acct. No. X607616			<input type="checkbox"/> WAIVER OF SIGNATURE (Domestic Only): Additional merchandise insurance is void if waiver of signature is requested. I wish delivery to be made without obtaining signature of addressee or addressee's agent (if delivery employee judges that article can be left in secure location) and I authorize that delivery employee's signature constitutes valid proof of delivery. <input type="checkbox"/> NO DELIVERY <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday		
Federal Agency Acct. No. or Postal Service Acct. No.			Customer Signature		
FROM: (PLEASE PRINT) PHONE () RUDNICK & WOLFE 203 N LA SALLE ST STE 1500 CHICAGO IL 60601-1293 51157.02.004			TO: (PLEASE PRINT) PHONE () ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON DC 20231-0001 BOX DD -- NO FEE		
PRESS HARD. You are making 3 copies. FOR PICKUP OR TRACKING CALL 1-800-222-1811 www.usps.gov					

203/

F02
T22

51157.02.004 P5350

**THE UNITED STATES PATENT & TRADEMARK OFFICE MAILROOM
STAMP AFFIXED HERE ACKNOWLEDGES RECEIPT OF:**

- ☐ Application Papers & Filing Fee ☐ Renewal Application
☐ Amendment ☐ Letter
☐ Response ☐ Assignment for Recording
☐ Request for Extension of Time ☐ Power of Attorney
☐ Sections 8 & 15 Affidavit ☐ Patent Maintenance Fee
☒ (XX) Information Disclosure Statement w/9 cited references
☒ (XX) Return receipt postcard -Mailed Express Mail 12/30/99

Client: Platinum Technology, Inc.
 XXXXX TITLE: METHOD AND APPARATUS FOR DYNAMIC COMMAND
 Mark: EXTENSIBILITY IN AN INTELLIGENT AGENT
Ser. No.: 09/220,436 Filing Date: 12/24/98

Reg. No.: _____

Pat. No.: _____

Atty. or Para.: Thomas W. Ryan, Esq.

Applicant : Aaron Abbott et al.
Serial No. : 09/220,436
Filed : December 24, 1998
Page : 1 of 1

Attorney's Docket No. 17646-180001 / 19980044



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Aaron Abbott et al.
Serial No. : 09/220,436
Filed : December 24, 1998
Title : METHOD AND APPARATUS FOR DYNAMIC COMMAND EXTENSIBILITY
IN AN INTELLIGENT AGENT

Art Unit : 2141
Examiner : S. Willett

MAIL STOP RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

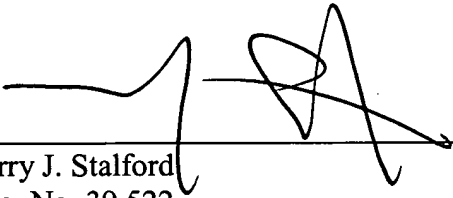
INFORMATION DISCLOSURE STATEMENT

Applicants submit the attached form PTO-1449 with references listed accompanied by copies of any foreign patent documents and non-patent literature. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

This filing is being made with the filing of a Request for Continued Examination. No fee is required. Please apply any deficiencies or any other required fees or any credits to deposit account 06-1050, referencing the attorney docket number shown above

Respectfully submitted,

Date: December 9, 2004



Terry J. Stalford
Reg. No. 39,522

Fish & Richardson P.C.
5000 Bank One Center
1717 Main Street
Dallas, Texas 75201
Telephone: (214) 292-4088
Facsimile: (214) 747-2091

90102842.doc

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EV 315554698 US

December 9, 2004
Date of Deposit

Substitute Form PTO-1449

U.S. Department of Commerce
Patent and Trademark Office

Attorney's Docket No.

17646-180001

(19980044)

Application No.

09/220,436

**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

(37 CFR § 1.98(b))

Applicant

Aaron Abbott et al.

Filing Date

December 24, 1998

Group Art Unit

2141

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AK	EP 0 817 046 A2	01/07/1998	EPO				
	AL							
	AM							
	AN							
	AO							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AP	Lewandowski, Scott M., "Frameworks for Component-Based Client/Server Computing," Department of Computer Science, Brown University, <u>ACM Computing Surveys</u> , Vol. 30, No. 1, March 1998, pp 3-27
	AQ	Orfali, Robert, et al., <u>Client/Server Programming with JAVA and CORBA</u> , ISBN 0-471-16351-1, copyright 1997 by John Wiley & Sons, Inc., pp 1-57
	AR	Communication (1 page) and Supplementary European Search Report (2 pages), EP 99 96 7576, mailed 06/16/2004
	AS	

Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.